1 CLINICAL TRIAL REPORT

- 2 Unger-Manhart et al
- **Demonstration of a Decongestant Effect of "Coldamaris**
- 4 Akut" Compared to Saline Nasal Spray in Participants
- **5 Suffering from Seasonal Allergic Rhinitis**
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26 **Abstract**

Purpose: Carrageenan-containing nasal sprays are known to alleviate symptoms of common cold and allergic symptoms by building a barrier against airborne intruders. The objective of this study was to develop a hyperosmolar nasal spray with barrier-forming properties and to demonstrate its decongestant effect in the context of allergic rhinitis.

31 **Methods:** The efficacy of the nasal spray components was first demonstrated *in vitro* by a virus 32 replication inhibition, water absorption, and barrier assay. Clinical efficacy was assessed in a 33 randomized, controlled, crossover trial, where adults with a history of severe seasonal allergic 34 rhinitis were exposed to grass pollen allergens under controlled conditions for a total of 6 hours. 35 Participants received either the carrageenan- and sorbitol containing nasal spray (CS) or saline 36 solution (SS) after 1h45min of allergen exposure. After one week, participants repeated the 37 exposure, receiving the treatment (CS or SS) they had not received before. The primary efficacy 38 endpoint was the mean change in 'Nasal Congestion Symptom Score' (NCSS) during the allergen 39 exposure. Secondary efficacy endpoints were nasal airflow, nasal secretion, total nasal symptom 40 score (TNSS), total ocular symptom score (TOSS) and total respiratory symptom score (TRSS).

41 Results: Preclinical assays showed virus-blocking, barrier building and water withdrawing 42 properties of the CS components. In the clinical study, a total of 46 participants were screened, 41 43 were randomized and 39 completed the study. There was no significant difference in mean NCSS 44 change from pre- to post-treatment between CS and SS (mean difference of 0.02 [95% CI -0.19; 45 0.24] during the first 2 hours after treatment) when analyzed by intention-to-treat. However, nasal 46 airflow increased over time after treatment with CS, while it declined after SS, leading to a growing 47 difference in airflow between CS- and SS-treated participants (p=0.039 at 6:00h). The anterior 48 nasal airflow increased after treatment in 23/38 (61%) of the CS treated participants, compared to 49 only 13/38 (34%) of the SS treated participants (p=0.024). The mean nasal secretion over 2-6 h 50 was reduced by 1.00 g or -25% after CS (p=0.003) compared to pre-treatment, while it was reduced 51 by only -0.50 g after SS (p=0.137). No significant differences in TNSS, TOSS and TRSS were 52 observed between CS and SS treatments.

- 53 **Conclusion:** CS builds a barrier at the mucosa against viruses and dust and is safe and effective
- 54 in alleviating nasal congestion, nasal airflow and nasal secretion in adults with grass pollen allergy.
- 55 Trial registration: NCT04532762
- 56 Keywords: Allergic rhinitis, nonpharmacological, drug-free, barrier, carragelose, carrageenan

57 Introduction

Nasal congestion, also described as fullness, blockage, or obstruction of the nasal cavity, is a frequently described symptom in clinical practice. It can significantly impair quality of life, reduce daytime productivity at work or school, and negatively impact night-time sleep time and quality.¹ Nasal congestion is usually treated with local decongestants like Xylometazoline or Oxymetazoline. Unfortunately, rebound swelling of the mucosa is observed upon prolonged use of these topical vasoconstrictors. This often leads to a gradual overuse and a vicious circle of self-treatment, which patients are often not aware of.^{2,3}

65 Nasal congestion is caused by air-borne irritants like tobacco smoke or dust, or by viruses and 66 allergens which cause viral and allergic rhinitis and sinusitis, respectively. Allergic rhinitis is a type 67 I allergic reaction where otherwise innocuous allergens such as pollen or animal dander crosslink 68 receptor bound IgE on mast cells.⁴ This crosslinking results in a biphasic response. The early phase 69 is characterized by the release of pre-formed mediators such as histamine which cause 70 characteristic symptoms like pruritus, rhinorrhea, sneezing, and nasal congestion. The late phase 71 is characterized by the release of newly synthesized mediators such as cytokines and chemokines. 72 The latter strongly contribute to inflammation and thereby to a worsening of the disease. Seasonal 73 allergic rhinitis or hay fever is caused by seasonal peaks in the airborne load of pollens and is the 74 most common type of allergic rhinitis. It is one of the most common chronic conditions in high-75 income countries⁵ and it is estimated that in Europe, up to 40% of the population suffer from pollen 76 allergy.^{6,7} In contrast to viral rhinitis, which is usually self-limiting with symptom duration of about 1 77 to 2 weeks, symptoms of allergic rhinitis can continue over longer periods. Allergic patients using 78 topical decongestion are therefore at higher risk of the rebound effect and would benefit from a 79 decongestant that does not induce this habituation effect.

Marinomed Biotech AG has developed nasal sprays based on iota-carrageenan (Carragelose[®]), a natural polymer from red seaweed, which forms a protective layer on mucosal surfaces that prevents viruses and allergens from interacting with the mucosal surface. Carragelose[®] is certified for marketing in the EU, parts of Asia and Australia, as a component of nasal sprays, throat sprays

84 and lozenges. Previous studies have shown that carrageenan-containing nasal sprays have a 85 broad, non-specific mode of action and prevent attachments of small particles like virus or pollen 86 to mucosal cells. This has been shown by us and others pre-clinically,⁸⁻¹⁰ and clinically.¹¹⁻¹⁷ 87 Carrageenan-containing nasal sprays reduce the symptoms of common cold and the viral load in 88 nasal lavage.¹⁴ Symptom duration is shorter and viral titers in nasal fluids decrease faster in patients 89 of common cold when treated with carrageenan-containing nasal spray compared to placebo.^{12,13} 90 Since the virus-blocking effect of carrageenan is based on its physical barrier function, we 91 hypothesized that it can act also against other small particles like pollen, resulting in the alleviation 92 of AR symptoms.

93 To broaden the beneficial effect of our nasal spray, we wanted to add a decongestant activity by 94 enhancing the osmolarity of the solution. This causes outflux of water from the nasal mucosa cells, 95 thereby reducing mucosal swelling and hence nasal congestion. A hypertonic nasal spray 96 containing carrageenan combines decongestant and anti-viral activity. Hypertonicity could be 97 achieved by addition of ionic and/or non-ionic osmolarity givers like sodium chloride (NaCl). 98 However, carrageenans change their conformation depending on the ionic strength of the 99 environment.^{18,19} Enhancing osmolarity using NaCI might therefore affect their anti-viral properties. 100 Alternatively, hypertonicity could be achieved by adding sorbitol, a water-soluble, membrane 101 impermeant polyol (sugar alcohol) that is frequently used in food processing to preserve moisture 102 and add sweetness and texture.

103 Here, we report preclinical in vitro and ex vivo data that are the basis for optimization of the 104 decongestant nasal spray formulation. Furthermore, we show results of a randomized, controlled, 105 crossover clinical trial on a decongestant effect of the CS in adults with a history of severe seasonal allergic rhinitis (SAR). The primary objective of this trial was to demonstrate a decongestant effect 106 107 on the nasal mucosa of the CS in comparison with 0.5% saline solution nasal spray (SS). The 108 secondary objective was to demonstrate the clinical performance of the CS in comparison with 109 saline solution as assessed by objective measurements of nasal airflow and nasal secretion as well 110 as patient-reported nasal, ocular and respiratory symptoms.

111 Methods

112 **Preclinical assays**

113 In vitro viral inhibition assay

114 To test if osmolarity could be adjusted with NaCl without compromising the virus-blocking 115 effectiveness of carrageenan, a series of formulations containing 1.2 mg/ml iota-carrageenan and 116 0.4 mg/ml kappa-carrageenan with sodium chloride concentrations between 0.5% and 2.3% were 117 tested against Human rhinoviruses HRV1a and HRV8. Hela cells were seeded in 96-well plates. 4-118 fold concentrated serial dilutions of the test sample (CS containing varying concentrations of NaCI) 119 and 4-fold concentrated virus dilution were prepared. Equal volumes of virus and test sample 120 dilutions were mixed and incubated at RT for 30 minutes. The mixture was diluted with an equal 121 volume of medium with 4% fetal bovine serum and antibiotic/antimycotic before it was added to the 122 cells for infection at a multiplicity of infection (MOI) of 0.7. After 48 hours at 33°C, cells were washed, 123 and viability was assessed with Alamar Blue staining. Viability was corrected for toxicity of 124 increasing salt concentrations and normalized to the viability of non-infected cells. The same 125 experimental set-up was used to test viral inhibition effectiveness of the final formulation of the 126 commercial product, containing 1.2 mg/ml iota-carrageenan, 0.4 mg/ml kappa-carrageenan, 0.5% 127 NaCl, and 7% sorbitol in citrate/phosphate buffer. Half-maximal inhibitory concentrations (IC50) 128 were calculated with XLfit Excel add-in version 5.3.1. Results were normalized to toxicity and non-129 infected control.

All percentages referring to nasal spray components here and in the following subsections are %weight/volume.

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Hemagglutination assay

This assay was applied to assess anti-viral activity against coronavirus hCoV OC43. On a 96-well
plate, two hemagglutination units of hCoV OC43 per well are incubated with a semi logarithmic

136 dilution series of test or control samples for 10 min at RT (final concentrations: 0.002-3µg/ml iota-137 carrageenan diluted in 0.5% to 2.6% NaCl with or without 7% sorbitol and McIlvaine buffer). A 138 suspension of chicken red blood cells (1% v/v in PBS) is added to each well to allow 139 hemagglutination of RBCs by the virus for 1.5 hrs at 4°C. At the time point of assay evaluation, 140 control RBCs in the absence of carrageenan are fully agglutinated by the virus, whereas inhibition 141 of hemagglutination can be observed in samples treated with carrageenan up to a certain dilution 142 factor. The minimal inhibitory concentration of each sample is noted for comparison of the anti-viral 143 effectiveness of each sample under these assay conditions. As an internal control, a specific batch 144 of iota-carrageenan is used (assay reference).

145 Ex vivo dehydration assay

The swine nasal mucosa was received from "University Clinic for Swine" at the University of Veterinarian Medicine Vienna. The nasal mucosa was excised from euthanized pigs and punched out into equal circular pieces with a diameter of 10mm. The mucosa pieces were weighed and put, the mucosa-site upward, into 48-well plates. 250 µl test solution was added to each well. Test solutions were iota- and kappa-carrageenan with 0.5% NaCl and 7% sorbitol; iota- and kappacarrageenan with 0.5% NaCl without sorbitol; and a 2.4% NaCl solution. The plate was incubated for 60 minutes at 37°C, after which the mucosa pieces were weighed again.

153 In vitro barrier assay

A 1.25% agar solution was filled into the wells of a 96-deep-well plate and was left to solidify o/n at 4°C. 200 μl of CS and of negative control were added on top of the agar block. The negative control sample contained sorbitol and NaCl in same concentration as in CS but did not contain the barrier forming component carrageenan. Fluorescent beads of 0.3 μm or 1.0 μm, respectively, were added and incubated for 3h at RT. Following multiple wash steps with 0.5% NaCl solution, beads were extracted from agar blocks using 0.1% Tween20 in PBS o/n at 4°C with 900rpm shaking. Extraction supernatants were transferred into a 96-well black flat bottom plate and analyzed in a

- 161 plate photometer with an excitation and emission wavelength of 485nm and 520nm, respectively.
- 162 Percent blocking was calculated relative to the amount of beads extracted from the negative control.

163 Clinical study

164 Study design

165 This was a prospective, controlled, double-blinded randomized two-way cross-over single site study 166 in adult female and male participants with severe grass pollen induced seasonal allergic rhinitis 167 (SAR). The study evaluated two treatments, namely the carrageenan- and sorbitol containing nasal 168 spray (CS) and a saline solution (SS) nasal spray. The study was conducted at the Vienna 169 Challenge Chamber (VCC) in Vienna, Austria. The Ethics Committee of the City of Vienna oversaw 170 trial conduct and documentation. The study was designed to include 5 visits. At visit 1 (screening 171 visit), participants were screened for appropriate allergic response. At visit 2, which could be done 172 on the same day as visit 1, medical and allergic history and inclusion/exclusion criteria were 173 assessed and blood samples for safety lab were withdrawn. At visit 3, scheduled 7 days after visit 174 2, participants were randomized to one of the two treatment arms (CS or SS) in a fully blinded 175 fashion (details of randomization see below) and underwent their first six-hour allergen challenge 176 session. Approximately 1 hour and 45 minutes after start of allergen exposure, participants were 177 dosed with the treatment they had been randomized to, and continued exposure for a total of 6 178 hours. (first treatment block). At visit 4, scheduled 7 days after visit 3 to allow complete symptom 179 relief from the previous challenge, participants were exposed to the second allergen challenge 180 (second treatment block) and crossed over to the treatment that they had not received in the first 181 block. A follow-up visit (end of study visit, visit 5) was scheduled one week after the second 182 treatment block. Participants were asked to record AEs and the use of concomitant medications for 183 the entire duration of the trial.

184 **Participants**

185 Participants were female and male adults aged between 18 and 65 years of any ethnicity/race, with 186 a documented clinically relevant allergic history of moderate to severe SAR to grass pollen for the 187 previous two years. Participants were selected from the VCC database and had to satisfy all 188 inclusion and exclusion criteria to be enrolled into the study. Key inclusion criterion was a moderate 189 to severe response to standard grass pollen allergen mixture within the first 2 hours in the VCC, 190 defined as total nasal symptom score (TNSS) of at least 6 (out of 12) with the necessity to score at 191 least "moderate = 2" for the single symptom 'nasal congestion'. TNSS is the sum of 'nasal 192 congestion', 'rhinorrhea', 'itchy nose' and 'sneezing', each scored on a categorical scale from 0 to 193 3. In addition, participants had to fulfill the following inclusion criteria: a positive Skin Prick Test 194 (SPT) response (wheal diameter at least 3 mm larger than diluent control) to grass pollen solutions 195 (standard Allergopharma) at screening or within the last 12 months prior to study start; positive 196 serum specific IgE against recombinant major allergen components of the used grass pollen e.g., 197 g6 (specific CAP IgE \geq 0.70 kU/L) at screening or within the last 12 months prior to study start; and 198 a forced expiratory volume in 1 second (FEV1) of at least 80% of reference value²⁰ at screening. 199 Asthma patients were allowed into the study only if the asthma condition was mild or intermittent. 200 and if not treated with steroids. Exclusion criteria comprised prior and ongoing conditions, diseases 201 and treatments that may interfere with the study intervention and outcomes. Female participants of 202 child-bearing potential were required to use birth control.

203 Randomization and blinding

Randomization numbers were allocated to the study participants in ascending order of their Screening Numbers following their attendance at Visit 3 (first treatment block). They were randomized using a cross-over randomization with balanced blocks. All personnel involved in the study, including investigators, site personnel, and sponsor's staff were blinded to the randomization codes. Persons responsible for labeling of investigational products were un-blinded, but not involved in other study activities. Un-blinding occurred at the end of the study.

210 Interventions and procedures

211 During each treatment period, participants were exposed to standard grass pollen allergen mixture 212 in the VCC for six hours using a validated method.^{21,22} During the challenge session, participants 213 were under constant supervision by, and could communicate with, medical staff outside the 214 chamber. The chamber was charged with 100% fresh air, which was conditioned (filtered, heated, 215 dried, cooled, and humidified) and then loaded with the challenge agent, a mixture of four grass 216 pollen species (Timothy, Orchard, Perennial rye and Sweet vernal grass) (Allergon SB, Sweden). 217 Air temperature (24°C), humidity (40%) and allergen load (1500 grains/m³) were constantly 218 monitored and maintained. During the 6 hours challenge, subjective nasal symptoms (nasal 219 congestion, rhinorrhea, itching, sneezing) as well as ocular and respiratory symptoms were 220 recorded every 15 minutes. Nasal airflow was measured by active anterior rhinomanometry (AAR) 221 at a pressure difference of 150 Pascal across the nasal passages (sum of the right and left nostril 222 values). Nasal airflow was evaluated immediately before and every 30 minutes during exposure, 223 with an additional assessment at timepoint 2h 15min. Nasal secretion was evaluated by weighing 224 paper tissues used by the participants during their stay in the chamber and collected every 30 225 minutes. 1h 45min after entering the challenge chamber, i.e., after developing pronounced allergic 226 nasal symptoms including nasal congestion, participants applied 1 puff per nostril of either CS or 227 SS. This resulted in a residual observation period of 4h 15min.

CS contained 1.2mg/ml iota-carrageenan, 0.4 mg/ml kappa-carrageenan, 7% (w/v) sorbitol, 0.5%
(w/v) sodium chloride, 1 mg/ml ethylene diamine tetra acetate, buffer and purified water. SS
contained 0.5% sodium chloride in water.

231 Endpoints

The primary efficacy endpoint was the mean difference between CS and SS of the 'Nasal Congestion Symptom Score' (NCSS) measured every 15 min during allergen exposure. Secondary efficacy endpoints were nasal airflow as assessed by active anterior rhinomanometry, total nasal symptom score (TNSS; sum of the symptoms 'nasal congestion', 'rhinorrhea', 'itchy nose', and 'sneezing'), total ocular symptom score (TOSS; sum of the symptoms 'ocular itching', 'redness',

237 'watery eyes'), total respiratory symptom score (TRSS; sum of the symptoms 'cough', 'wheeze', 238 'dyspnea'), and nasal secretion. Each individual symptom of NCSS, TNSS, TOSS and TRSS was 239 rated on a scale from 0 to 3, whereas "0" corresponded to "no symptoms", "1" to "mild symptoms" 240 (easy to tolerate), "2" to "moderate symptoms" (bothersome, but tolerable) and "3" to "severe 241 symptoms" (hard to tolerate). Safety assessments included frequency and severity of AE, related 242 AE and serious AE (SAE) throughout the study. In addition, vital signs (blood pressure, pulse rate, 243 temperature and breathing frequency) were assessed at every visit, pre-and post-challenge. Lung 244 function was assessed at screening as well as before allergen challenge and every 2 hours during 245 the allergen challenge by measuring the Forced Expiratory Volume in 1 second (FEV₁) using a 246 Piston Spirometer. Physical examination, laboratory blood analysis and ECG were conducted at 247 screening and at the follow-up visit.

248 Statistical analysis

Sample size calculation was based on the expectation of a mean difference of 0.6 points with standard deviation of 1.1 (SS = 2, CS = 1.4, effect size d=0.56 and a power = 90%) which was derived from previous studies. Thus, n=36 participants were needed at an alpha level of p=0.05. Considering the dropout rate of 10-15%, up to 50 participants needed to be screened to randomize about 42 participants in order to get evaluable data from at least 36 participants.

254 Safety analyses including vital signs, laboratory data and AEs, were carried out in the safety 255 population defined as all participants starting the challenge provocation qualification session.

Efficacy was analyzed in the Full Analysis Set (FAS) and in the Per-Protocol Set (PPS). The FAS comprised all participants who were randomized and was analyzed following the intent-to-treat (ITT) principle, according to the treatment they have been assigned at randomization. The PPS comprises all participants in the FAS who did not have any clinically important protocol deviation.

260 The primary efficacy variable was analyzed in a confirmatory way between the two conditions CS

and SS, assuming superiority for CS versus SS. The null hypothesis was defined as:

262 Mean NCSS [Delta pre-treatment (1:45h) - post-treatment (mean 2-4h)] {CS} ≤ Mean NCSS [Delta

263 pre-treatment (1:45h) - post-treatment (mean 2-4h)] {SS}

264 The alternative hypothesis was formally defined as:

265 Mean NCSS [Delta pre-treatment (1:45h) - post-treatment (mean 2-4h)] {CS} > Mean NCSS [Delta

266 pre-treatment (1:45h) - post-treatment (mean 2-4h)] {SS}

A 95% confidence interval for the mean difference of the two treatments was calculated. The superiority comparison of CS versus SS was performed using analysis of variance (ANOVA) appropriate for the cross-over design. Period (first or second treatment block) was included in the analysis model as a fixed effect to confirm the assumption of no period effect. Participant was included in the model as a random effect. Superiority was to be postulated if the lower bound of the 95% confidence interval was >0. Secondary efficacy variables were analyzed in an explorative sense and are presented using

descriptive methods. Exploratory efficacy analysis was performed for mean differences between the two treatments for consecutive intervals from 2h onward to 6h analogous to the primary efficacy analysis. Respective statistical tests and p-values are to be regarded as descriptive and not as tests of hypotheses.

278 All attempts were made to collect all data per protocol. Missing or invalid data was neither replaced

279 nor extrapolated. Outliers were not excluded from the primary analyses. Significance level was set

to alpha=5%. R version 4.0.3 was used for all statistical analyses.

281 **Results**

282 Results Part 1: Preclinical Development

283 Carrageenan containing nasal sprays are used to prevent and treat viral infections of the respiratory 284 tract by blocking the viruses attachment to the mucosa. To enhance the benefit and broaden the 285 applicability of the barrier-forming nasal spray, a decongestant effect should be added to the 286 formulation. Usually, intranasally applied hyperosmotic saline solutions are used to withdraw water from the nasal mucosa, thereby reducing intranasal swelling. However, we found that increasing 287 288 salt concentrations reduced the carrageenan's capacity to block the attachment of human 289 rhinovirus and of human coronavirus to cells. As shown by IC_{50} values in **Table 1**, increasing sodium 290 chloride concentrations reduced the virus-blocking capacity of the carrageenan against human 291 rhinovirus HRV1 and HRV8 as well as against Coronavirus hCoV OC43 in a dose-dependent 292 manner. Therefore, the formulation was adjusted to 0.5% sodium chloride to preserve the 293 carrageenan's beneficial virus-blocking effect. To achieve hyperosmotic activity, sorbitol was added 294 to the formulation at a concentration of 7%, which increased the formulation's osmolarity, but in 295 contrast to high concentrations of sodium chloride, preserved the virus-blocking activity of 296 carrageenan (also shown in **Table 1**).

297 After confirming that addition of buffer did not influence the antiviral activity of carrageenan (data 298 not shown), the final product was formulated with 1.2 mg/ml iota-carrageenan, 0.4 mg/ml kappa-299 carrageenan, 0.5% NaCl, 7% sorbitol in citrate/phosphate buffer with an osmolality of 787 300 mosmol/kg, corresponding to the osmolality of hyperosmolar saline solutions with concentrations 301 of 2.3-3%. This formulation was then used for ex vivo experiments as well as for the clinical study. 302 Ex vivo experiments showed that incubation of nasal porcine mucosa with CS or a 2.4% saline 303 solution of similar osmolality withdrew considerable amounts of liquid from the mucosa, resulting in 304 a weight loss of 21±5% and 14±8%, respectively. In comparison, the weight of the mucosa 305 incubated with carrageenan in 0.5% NaCl remained equal (weight change of $1\pm6\%$), indicating that 306 the hyperosmolality alone, and not the carrageenan, is responsible for the weight loss (Figure 1).

These results demonstrate a beneficial effect of sorbitol when added to the CS that could support
 nasal decongestion via its water draining properties.

309 A proof of principle for the barrier function of carrageenan in the formulation containing 7% sorbitol 310 and 0.5% NaCI was demonstrated by an in vitro barrier assay. This assay tests the ability of a 311 sample solution to inhibit diffusion of fluorescent beads, serving as surrogate for particulate matter, 312 into an agar block. As shown in Figure 2, CS nasal spray exhibited a blocking activity of 99±0% for 313 beads of 0.3 µm diameter, and of 80±2% for beads of 1.0 µm diameter. This means that the 314 protective layer formed by carrageenan allowed only 1% and 20%, respectively, of beads to reach 315 the agar block, compared to the negative control. This indicates that the nasal spray can provide 316 protection against external particles that might trigger or worsen allergic reactions.

317 **Results Part 2: Clinical Study**

318 The potential of the CS to treat nasal congestion in humans was examined in a clinical study in 319 patients with allergic rhinitis. Figure 3, Panel A gives a graphical overview of the study, Panel B 320 depicts the assessment carried out during each treatment block. Between September and October 321 2020, a total of 46 participants were screened after giving informed consent and were included in 322 the safety population. Of these, 41 participants fulfilled all in/exclusion criteria, were randomized to 323 one of the two possible treatment sequences, and hence constitute the FAS. 2 participants 324 discontinued, and 4 participants did not respond to either treatment with CS or SS and were thus 325 excluded from the per PPS based on the finding that hypertonic saline nasal spray has no effect 326 on nasal congestion in approximately 30% of the population.²³ No other exclusionary protocol 327 deviations were reported. Figure 4 shows the flow of participants through the study.

Demographic characteristics are summarized in **Table 2**. 27/46 (59%) of the participants were females, 19/46 (41%) were males. Participants were aged between 21 and 62 years, with a mean age of 34.6 years (SD 10.9). The mean BMI was 23.9 kg/m², all participants' BMIs were below 30, i.e., none of the participants was obese. All participants had a history of moderate to severe seasonal allergic rhinitis (SAR) to grass pollen with a prior duration of between 8 and 43 years, on average 23.5 years.

In the following, all efficacy results are shown for the FAS, analyzed by ITT. Results for the PP were
 similar as for the FAS.

336 All participants developed nasal congestion upon the start of the allergen challenge. The mean 337 NCSS increased notably already after 15 min, further increased until timepoint 1h 45min, and was 338 reduced upon intake of either CS or SS (Figure 5A). The overall mean NCSS was 0.1 (SD 0.3) 339 before starting the allergen challenge (timepoint 00:00) and it increased to 2.3 (SD 0.7) after CS 340 treatment group and 2.2 (SD 0.5) after saline solution treatment at timepoint 1h45min 341 (Supplementary Table S1). However, only a small difference of 0.16 (SD 0.50) for CS and 0.11 342 (SD 0.53) for SS between pre-treatment NCSS (timepoint 1h45min, i.e., directly before the 343 treatment), and the mean NCSS across the time interval 2-4h could be detected (Supplementary 344 Table S2). No phase-effect (p-value >0.05, Wilcoxon test) and no carry-over effect (p-value >0.05, 345 ANOVA) was observed. The mean difference between CS [Pre-treatment - ø(2-4h)] and SS [Pre-346 treatment - ø(2-4h)] across all participants was 0.02, 95% CI [-0.19:0.24], p >0.05 (paired t-test) 347 (Figure 5B). With the lower bound of the 95% confidence interval <0, superiority of CS versus SS 348 in terms of NCSS could not be established.

Figure 6 shows the absolute nasal airflow in both treatments before treatment (timepoint 1h45min) and at the end of the allergen challenge period. In total, an increased anterior nasal airflow was measured in 23/38 (61%) of the participants after treatment with the CS, but in only 13/38 participants (34%) after SS treatment (**Table 3**). This difference between treatments was statistically significant (p=0.024, McNemar's test for paired nominal data).

354 In order to unravel the temporal dynamics that led to the post-treatment differences, we also 355 followed nasal airflow changes over time by subtracting the mean pre-treatment value (timepoint 356 1h30min) from the mean post-treatment value of varying post-treatment periods (mean over 2-6h, 357 2:15-6h, 2:30-6h etc.). Positive values indicate higher nasal airflow post-treatment compared to 358 pre-treatment. As shown in **Supplementary Figure S1**, treatment with the CS led to an increase 359 of nasal airflow over the course of the 4 hours residual observation time compared to pre-treatment, 360 while it declined in the SS group. This led to a significantly higher airflow in the CS group compared 361 to the SS group at the end of the 6 hours treatment block: The difference between CS and SS in

nasal airflow change from pre-treatment to the end of the 6h treatment block in the FAS (ITT)
population was 54.29 ml/s (95% CI 2.92; 105.66). The difference was significantly in favor of the
CS (p=0.04, paired t-test) (Supplementary Table S3).

365 Changes in nasal secretion from pre- to post-treatment were calculated in an analogous manner. 366 In both groups, nasal secretion declined post-treatment when compared to pre-treatment. The 367 difference in nasal secretion from pre- to post-treatment was more pronounced in the CS group 368 than in the SS group (Figure 7). For the CS, the weight of nasal secretion changed from 3.99 g at 369 pre-treatment to 2.99 g averaged over the entire residual observation time (2-6h), representing a 370 mean tissue weight difference of -1.00 g or -25% (p=0.003, t-Test). After SS, the mean tissue weight 371 difference from pre-treatment to 2-6h, was only -0.50 g (p=0.137, Wilcoxon signed rank test). These 372 results indicate that nasal secretion declined more strongly after CS than after SS treatment (Table

373 **4 and Figure 7**).

TNSS, TOSS and TRSS over the 6 hours treatment block did not show any pronounced differences
between CS and S group (data not shown).

In the safety population, a total of 3 adverse events occurred in 2 participants during the trial: pyrexia (mild), nasopharyngitis (moderate) and pharyngitis (severe) (**Table 5**). Pharyngitis and pyrexia occurred in the same participants 4 days after the first treatment block with SS. Nasopharyngitis occurred 4 days after the first treatment block with CS. None of them was considered related to the study treatment, none was serious, all were resolved by study end. Both participants missed the second treatment block and terminated the trial prematurely due to these AEs.

All vital signs and laboratory values showed no particular differences between baseline and follow up visit (data not shown), indicating good tolerability of both allergen challenge and treatment with
 CS and saline solution.

386 **Discussion**

387 This paper includes preclinical and clinical data demonstrating the safety and efficacy of a 388 carrageenan- and sorbitol -containing (CS) nasal spray. The in vitro/ex vivo data indicate that the 389 formulation is osmotically active while preserving the barrier-forming, virus-blocking capacity of the 390 carrageenan. The clinical data show that the CS nasal spray is safe and well tolerable in 391 participants with moderate to severe SAR. Although the primary endpoint based on the subjective 392 rating of nasal congestion was not met, two objective parameters, nasal airflow and nasal secretion. 393 showed a significant improvement upon treatment with CS nasal spray. Nasal airflow increased 394 upon CS administration, but decreased upon administration of saline solution, leading to a 395 significantly higher airflow in CS treated participants at the end of the challenge. The majority (60%) 396 of participants had an increased nasal airflow after CS, but only 34% had an increased nasal airflow 397 after SS administration. The amount of nasal secretion was reduced both after CS and SS 398 administration, but this reduction was significant only after the CS. The low incidence of adverse 399 events, none of them considered treatment-related, suggested safety of CS nasal spray similar to 400 saline solution used in this study and similar to carrageenan-only (no sorbitol) nasal spray as 401 demonstrated in previous studies.11-14,16,24,25

The beneficial effect of the CS nasal spray is presumable achieved via multiple modes of action attributed to carrageenan and sorbitol. First, carrageenan has excellent mucoadhesive properties that are e.g. exploited for intranasal drug delivery.²⁶ We hypothesize that a mucoadhesive layer of carrageenan forms a protective barrier in the nasal mucosa that prevents small particles like pollen and dust to enter the nasal mucosa and hinders further induction or aggravation of AR symptoms like nasal congestion and nasal secretion.¹⁷

Secondly, polyols like sorbitol are known and widely used as humectants in the cosmetics and food industry based on their hygroscopic properties.²⁷ In the context of rhinitis, xylitol, another polyol with similar properties as sorbitol, was shown to keep the nasal passages and sinuses moist and clean for a longer time than saline alone. 5-days-use of a hyperosmolar xylitol-containing nasal spray led to significant improvement of the overall quality of life score compared to pre-treatment

in participants suffering from nasal obstruction.²⁸ Moreover, a xylitol solution was as effective in the
 treatment of rhinitis medicamentosa in rats as the glucocorticoid mometasone in the reversal of
 histopathological changes caused by long-term treatment with oxymetazoline.²⁹

416 Strengths of this study include the cross-over design, in which each participant serves as their own 417 control, the random assignment to minimize possible effects from the order of treatments, and the 418 blinding of investigators, site personnel, and the sponsor's staff. Another strength is the use of an 419 environmental challenge chamber to induce AR symptoms, which allows to control environmental 420 conditions like temperature, humidity, and allergen type and concentration, and thus enables the 421 performance of allergology studies out of allergy season and under uniform allergen exposure 422 conditions. This limits variation and helps reducing the number of study participants. Moreover, use 423 of the challenge chamber allows the study personnel to supervise administration of medication and 424 documentation of outcomes, thereby enhancing participant compliance.³⁰⁻³⁶

425 The study has several limitations. One of them is the selection of the NCSS, a subjective scoring 426 scale, as primary endpoint. The rationale for the selection of the primary endpoint was that nasal 427 congestion comes with a significant impact upon patients' QOL, which is considered an important 428 determinant of the severity of nasal diseases.^{37,38} In fact, the degree of health-related QOL impairment has been demonstrated to drive patients' choice between treatment options.39 429 430 Assessment of QOL in the form of patient reported outcome measures (PROMs) is regarded a 431 standard outcome measures in clinical trials, acknowledging the fact that the classical, objective 432 outcome variables may only partially characterize the disease of the patient. However, the focus 433 on a PROM as primary endpoint also poses problems due to the low degree of correlation between 434 subjective and objective outcomes assessing nasal symptoms, as systematically reviewed by Ta 435 et al.⁴⁰ The authors consequently recommend to use objective outcome measures to complement 436 and confirm validated patient reported outcomes.⁴⁰

The findings of our study support this conclusion, showing discrepancies between subjective and objective evaluations. As described in the results section, only very slight differences between groups and between timepoints were observed by NCSS that may possibly reach significance only with a much larger sample size. In contrast, differences between CS and SS in nasal airflow

441 improvement measured by AAR became significant towards the end of the allergen challenge, 442 indicating that this sensitive method is able to pick up subtle changes that cannot possibly be 443 detected by PROMs like the NCSS with the available number of participants. Rhinomanometry 444 enables the objective and accurate measurement of nasal congestion, and is considered the gold 445 standard for measuring nasal airway patency and resistance.41 The method has been 446 demonstrated to be sensitive in quantifying nasal patency after nasal provocation testing and to 447 assess the efficacy of medications used to treat nasal congestion/obstruction.⁴² The 448 implementation of rhinomanometry as objective endpoint in addition to the subjective symptom 449 scores is therefore a particular upside of this study. Analogously, objective determination of nasal 450 secretion revealed a significant reduction of nasal secretion after treatment compared to pre-451 treatment, which was not captured by the TNSS with sufficient sensitivity.

452 In this study, we used the time window from 2 to 4h after start of allergen exposure, that is, starting 453 15min after treatment administration and ending 2h15min after treatment administration. This 454 interval was selected based on the expectation that the most pronounced effect of the treatment 455 would manifest shortly after treatment. The mean residence time of carrageenan at the mucosa of 456 approximately four hours was determined in a prior study using nasal mucociliary clearance (NMC) 457 time assessment in healthy volunteers,¹⁵ and we expected the most pronounced effect to manifest 458 in the first half of this period. However, nasal airflow continuously increased from post-treatment 459 until the end of the allergen challenge period.

In sum, based on our findings, we propose the CS as safe and effective treatment of mild tomoderate AR.

462 **Conclusion**

463 Coldamaris akut, a carrageenan- and sorbitol containing nasal spray, is considered safe and 464 effective in the relief of nasal symptoms in adults with grass pollen allergy.

465 **Ethics Statement**

The study was conducted in Austria in accordance with in accordance with the Declaration of Helsinki on Ethical Principles for Medical Research Involving Human Subjects, the International Council for Harmonisation Guideline on Good Clinical Practice, and all applicable local regulatory requirements and laws. The study was approved by the Ethics Committee of the City of Vienna (protocol code COA_19_03, EK 19/277/1219). Informed consent was obtained from all study participants.

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474 **Disclosure**

- 475 NU, MM, HD and EP are employees of Marinomed Biotech AG. MS received consulting fees from
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- 477 This manuscript is also available at medRxiv preprint server.

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606 **Tables**

Table 1: In vitro data: Virus-blocking effectiveness against HRV1a, HRV8 and hCoV OC43.

608 Minimal inhibitory concentration of the various formulations determined in a virus inhibition assay

609 (for HRV1 and HRV8) or a hemagglutination inhibition assay (for hCoV OC43).

Effectiveness of various formulations	IC₅₀ [µg/ml]	IC₅₀ 95% CI [µg/ml]			
HRV1a					
Carrageenan + 0.5% NaCl	1.8	0.7; 3.0			
Carrageenan + 0.9% NaCl	5.6	4.0; 7.1			
Carrageenan + 2% NaCl	26.5	23.0; 30.0			
Carrageenan + 2.3% NaCl	40.7	35.0; 46.6			
Fold Change (Carrageenan + 0.5% NaCl) vs. (Carrageenan + 2.3% NaCl) 22.3					
Carrageenan + 0.5% NaCl + 7% Sorbitol	3,7 2.2; 5.3				
Carrageenan + 2.3% NaCl	104,5	82.8; 126.2			
Fold Change (Carrageenan + 0.5% NaCl + 7% Sorbitol) vs. (Carrageenan + 2.3% NaCl)	27.9				
HRV8					
Carrageenan + 0.5% NaCl	2.3	1.0; 3.6			
Carrageenan + 0.9% NaCl	4.1	2.9; 5.3			
Carrageenan + 2% NaCl	8.1	5.5; 10.7			
Carrageenan + 2.3% NaCl	15.6	8.8; 22.4			
Fold Change (Carrageenan + 0.5% NaCl) vs. (Carrageenan + 2.3% NaCl)	6.9				
Carrageenan + Buffer + 0.5% NaCl + Sorbitol	0.8	0.7; 1.0			
Carrageenan + 2.3% NaCl	2.3 1.5; 3.1				
Fold Change (Carrageenan + 0.5% NaCl + 7% Sorbitol) vs. (Carrageenan + 2.3% NaCl)	d Change (Carrageenan + 0.5% NaCl + 7% Sorbitol) vs. arrageenan + 2.3% NaCl) 2.9				
hCoV OC43					

Carrageenan + 0.5% NaCl	0.007	n.a.		
Carrageenan + 0.9% NaCl	0.007	n.a.		
Carrageenan + 2.0% NaCl	0.080	n.a.		
Carrageenan + 2.3% NaCl	0.080	n.a.		
Carrageenan + 0.5% NaCl + 7% sorbitol	0.007	n.a.		
Fold Change (Carrageenan + 0.5% NaCl) vs. (Carrageenan + 2.3% NaCl)	11.4			
Fold Change (Carrageenan + 0.5% NaCl + 7% Sorbitol) vs. (Carrageenan + 2.3% NaCl)	11.4			

611

612 Note: Bold borders mark individual experiments.

613 Abbreviations: IC₅₀, inhibitory concentration neutralizing 50% of the virus; CI, confidence interval; NaCl, sodium chloride;

614 Carrageenan, 1.2 mg/ml iota-carrageenan and 0.4 mg/ml iota-carrageenan; n.a., not applicable.

616

Table 2: Clinical data: Demographic characteristics at baseline (Safety Population)

	r	617
		All ₆₁₈ participants (N=46) 620
Sex		621
Female	n (%)	622 27 (59%) 623
Male	n (%)	19 (41%6)24
Ethnicity		625 626
Caucasian	n (%)	28 (61% 6) 27
Not specified	n (%)	18 (39%)
Age	Years (min/max)	34.6 (21/62)
ВМІ	kg/m² (min/max)	23.9 (19.1/29.8)

Abbreviations: BMI, body mass index.

Table 3: Clinical data: Improvement/worsening of airflow after 6h compared to pre-treatment(1h30min), evaluated within treatment groups for the FAS.

628

		CS (360 min - 90 min)	
		better or equal	worse
SS (360 min - 90 min)	better or equal	10	3
	worse	13	12

629 630

630 Abbreviations: CS, carrageenan-sorbitol containing nasal spray. SS, saline solution.

631 p-Value: 0.024 (McNemar's test for paired nominal data for comparison between treatments)

633 Table 4: Clinical data: Tissue weight differences between pre-treatment [90 min] and the

634 mean of all post-treatment timepoints [120-360 min] for the FAS.

635

636

	Mean Weight [g] ± SD					
Treatment	Pre-treatment	After treatment	Difference after - pre	p-Value		
CS	3.99 ± 3.24	2.99 ± 2.16	-1.00 ± 1.96	0.003*		
SS	3.07 ± 2.59	2.57 ± 1.87	-0.50 ± 1.70	0.137**		

637

638 Abbreviations: CS, carrageenan-sorbitol containing nasal spray; SS, saline solution; SD, standard deviation.

639 Pre-treatment = mean at timepoint 90min

640 After treatment = mean of all timepoints from 2h to 6h

641 * t-test (Normality assumption confirmed)

642 ** Wilcoxon signed rank test (Normality assumption rejected)

Table 5: Clinical data: Adverse events by SOC/PT and severity for the Safety Population

645 (N=46).

646

SOC	РТ	Mild	Moderate	Severe	Total
General disorders and administration site conditions		1	0	0	1
	Pyrexia			0	1
Infections and infestations		0	1	1	2
	Nasopharyngitis	0	1	0	1
	Pharyngitis	0	0	1	1

Abbreviations: SOC, system organ class; PT, preferred term.

648 Supplementary Tables

Table S1: Clinical data: Nasal congestion symptom score (NCSS) of all time points for the

- 650 **FAS.** N=40 for all timepoints.
- 651

	Ca	rrageena	an-Sorbi	tol (CS)	nasal s	oray		Saliı	ne soluti	ion (SS)		
Time	Mean	SD	LQ	UQ	Min	Max	Mean	SD	LQ	UQ	Min	Max
00:00	0.1	0.3	0.0	0.0	0	1	0.1	0.3	0.0	0.0	0	1
00:15	0.8	0.5	0.0	1.0	0	2	0.7	0.6	0.0	1.0	0	2
00:30	1.4	0.6	1.0	2.0	0	3	1.3	0.6	1.0	2.0	0	2
00:45	1.7	0.7	1.0	2.0	0	3	1.6	0.6	1.0	2.0	1	3
01:00	2.0	0.6	2.0	2.0	1	3	1.9	0.6	2.0	2.0	1	3
01:15	2.0	0.7	2.0	2.0	0	3	1.9	0.7	1.0	2.0	1	3
01:30	2.2	0.6	2.0	3.0	1	3	2.0	0.6	2.0	2.0	1	3
01:45	2.3	0.7	2.0	3.0	1	3	2.2	0.5	2.0	2.0	1	3
02:00	2.1	0.7	2.0	2.2	1	3	1.9	0.6	1.8	2.0	1	3
02:15	2.2	0.7	2.0	3.0	1	3	2.0	0.6	2.0	2.0	1	3
02:30	2.1	0.6	2.0	3.0	1	3	2.0	0.7	2.0	2.2	1	3
02:45	2.1	0.7	2.0	3.0	1	3	2.0	0.7	1.8	3.0	1	3
03:00	2.2	0.6	2.0	3.0	1	3	2.0	0.7	2.0	2.2	1	3
03:15	2.1	0.7	2.0	3.0	1	3	2.0	0.7	2.0	3.0	1	3
03:30	2.2	0.7	2.0	3.0	1	3	2.1	0.7	2.0	3.0	1	3
03:45	2.2	0.7	2.0	3.0	1	3	2.1	0.7	2.0	3.0	1	3
04:00	2.2	0.8	2.0	3.0	0	3	2.2	0.7	2.0	3.0	1	3
04:15	2.1	0.8	2.0	3.0	0	3	2.1	0.8	1.8	3.0	0	3
04:30	2.2	0.8	2.0	3.0	0	3	2.1	0.7	2.0	3.0	1	3
04:45	2.2	0.7	2.0	3.0	1	3	2.2	0.7	2.0	3.0	1	3
05:00	2.2	0.7	2.0	3.0	1	3	2.2	0.7	2.0	3.0	1	3
05:15	2.4	0.7	2.0	3.0	1	3	2.2	0.7	2.0	3.0	1	3
05:30	2.2	0.8	2.0	3.0	1	3	2.2	0.7	2.0	3.0	1	3
05:45	2.3	0.7	2.0	3.0	1	3	2.2	0.7	2.0	3.0	1	3
06:00	2.3	0.8	2.0	3.0	1	3	2.2	0.7	2.0	3.0	1	3

652 653

Abbreviations: CS, carrageenan-sorbitol containing nasal spray. SS, saline solution; SD, standard deviation; LQ, lower

duartile; UQ, upper quartile.

655 Table S2: Clinical data: Mean difference in NCSS [Pre-treatment - ø(2-4h)] for the FAS.

656

	CS	SS	CS – SS
Mean	0.16	0.11	0.02
SD	0.50	0.53	0.66
Median	0.00	0.00	0.11
Min	-0.89	-0.78	-1.56
Max	1.44	1.56	1.33
N	40 ¹	40 ¹	39 ²

657

658 Abbreviations: NCSS, Nasal Congestion Symptom Score. CS, carrageenan-sorbitol containing nasal spray. SS, saline solution.

659

660 SD, standard deviation. Min, minimum value. Max, maximum value.

661 1 n = 40 out of 41 patients completed each treatment period

662 2 n = 39 out of 41 patients completed both treatment periods

Table S3: Clinical data: Mean difference in AAR change from pre- to post-treatment

665 between CS and SS for the FAS. Values for the respective treatment period are first calculated

666 individually by subtracting the mean pre-treatment airflow from the mean nasal airflow over the

- 667 indicated post-treatment time period. Differences between treatments are computed likewise by
- subtracting the [mean pre- to post-treatment difference for SS] from the [mean pre- to post-
- treatment difference for CS]. Paired t-tests were applied to those differences. Differences above 0
- are favorable for the CS treatment.
- 671

Observation interval	Mean difference between CS and SS	95% CI	P-Value ²	
ø(2:00-6:00 h) - Pre-treatment	8.05	-24.05; 40.14	0.61	
ø(2:15-6:00 h)) - Pre-treatment	12.23	-21.80; 46.27	0.47	
ø(2:30-6:00 h)) - Pre-treatment	11.96	-22.55; 46.47	0.49	
ø(3:00-6:00 h)) - Pre-treatment	17.07	-17.02; 51.16	0.32	
ø(3:30-6:00 h)) - Pre-treatment	19.39	-14.34; 53.13	0.25	
ø(4:00-6:00 h)) - Pre-treatment	23.34	-10.92; 57.61	0.18	
ø(4:30-6:00 h)) - Pre-treatment	25.59	-10.87; 62.04	0.16	
ø(5:00-6:00 h)) - Pre-treatment	28.23	-12.68; 69.14	0.17	
ø(5:30-6:00 h)) - Pre-treatment	47.96	5.14; 90.78	0.03	
ø(6:00 h)) - Pre-treatment	54.29	2.92; 105.66	0.04	

672

Abbreviations: CS, carrageenan-sorbitol containing nasal spray. SS, saline solution. CI, confidence interval.

¹ Mean Nasal Airflow, measured by active anterior rhinomanometry (AAR).

675 ² Paired t-test

676

Figure 1: Ex vivo assay: Hyperosmolar effect of CS nasal spray with and without sorbitol. Weight decrease of ex-vivo porcine nasal mucosa after incubation for 60 minutes at 37°C in CS (carrageenan + 0.5% NaCl + 7% sorbitol in buffered aqueous solution), a 2.4% sodium chloride solution, or carrageenan + 0.5% NaCl in buffered aqueous solution without sorbitol (CS w/o sorbitol). Error bars represent standard deviation of replicates.



Figure 2: In vitro assay: Barrier function of CS nasal spray. Results of the percentage blocking activity of CS nasal spray relative to negative control (contains sorbitol and NaCl in same concentration as in CS but does not contain the barrier forming component carrageenan). Amounts of barrier-crossing beads were analyzed 180 minutes after application of beads. Cyan = % blocking activity for bead size of $1.0 \mu m$. Error bars represent standard deviation of replicates.





Figure 3: Clinical Study: Graphical Abstract Panel B: Efficacy assessments carried out per treatment block.



Figure 4: Clinical Study: CONSORT Flow Chart



Figure 5: Clinical data: Nasal Congestion Symptom Score (NCSS) pre- and post-treatment during the grass pollen allergen exposure challenge for the FAS.

Panel A: Baseline corrected mean time course of nasal NCSS. The gray square highlights the timepoints used for the primary efficacy analysis.

Panel B: Primary efficacy analysis: Mean difference of treatments (Mean NCSS Δ [Pre-treatment - \emptyset (2-4h)]) and 95% CI for the FAS. The mean difference of CS – SS = 0.02, 95% CI [-0.19;0.24], p > 0.05 (paired t-test).



Figure 6: Clinical data: Anterior nasal airflow before and after treatment for the FAS. Mean airflow at timepoints 1h30min (before treatment) and 6h after start of allergen challenge. Error bars denote 95% CI. P=0.039 for comparison between treatments in difference from pre-treatment to timepoint 360 min.



Figure 7: Clinical data: Median nasal secretion absolute differences to pre-treatment for the FAS. Differences in post-treatment nasal secretion compared to ptre-treatment after CS treatment (cyan) and saline treatment (magenta). Positive values indicate lower nasal secretion post-treatment compared to pre-treatment.



SS

CS

Supplemental Figure S1: Clinical data: Median anterior nasal airflow absolute differences to pre-treatment for the FAS. Differences in post-treatment nasal airflow compared to pre-treatment in the CS group (cyan) and the SS (magenta). Positive values indicate higher nasal airflow post-treatment, negative value indicate lower nasal airflow post-treatment compared to pre-treatment.

